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PTO/SB/08a/b (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/912,174		
		Filing Date	July 24, 2001		
		First Named Inventor	Takumi Okaue		
		Art Unit	215T 2132 <sup>00</sup>		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-191

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup>	Number-Kind Code <sup>5</sup> (if known)				
	BA	EP	0 798 892 A2	10-01-1997	International Business Machines Corporation		✓
	BB	EP	0 994 475 A1	04-19-2000	Matsushita Electric Industrial Co., Ltd.		✓
	BC	WO	99/53689	10-21-1999	Digital Video Express, L.P.		✓

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature		Date Considered	11/18/03
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				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-191

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA	AA	6,049,878-A	04-11-2000	Caronni et al.	

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
BA	BA	JP-11-187013-A	07-09-1999	IBM Japan Ltd		**
BB	BB	EP-1 008 989-A2	06-14-2000	Sony Corporation		✓
BC	BC	DE-195 11 298-A1	04-11-2000	Deutsche Telekom AG		

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CA	CA	LEFFLER, SAMUEL J. ET AL., "Chapter 7: The Filesystem", Design and Implementation of the 4.3 BSD Unix Operating System, November 1989, pp. 187-223.	✓
CB	CB	STEVENSON, FRANK A., "Cryptanalysis of Contents Scrambling System", Online!, November 8, 1999, pp. 1-4.	✓
CC	CC	WALDVOGEL, M. ET AL., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, Vol. 17, No. 9, September 1999, pp. 1614-1631.	✓
CD	CD	WALLNER, D. ET AL., "Key Management for Multicast: Issues and Architectures", IETF Request for Comments No. 2627, The Internet Society, Online!, June 1999, pp. 1-23.	✓
CE	CE	WONG, C.K. ET AL., "Secure Group Communications Using Key Graphs", IEEE/ACM Transactions on Networking, Vol. 8, No. 1, February 2000, pp. 16-30.	✓
CF	CF	YLONEN, TATU, "encodings, character sets, general requirements", Simple Public Key Infrastructure, Online!, February 28, 1996, pp. 1-2.	✓

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\*\* with English abstract only.

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